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# Mainstreaming Disaster Risk Management in Government Institutions

## **Session 5.1** Guidelines and Requirements for Developing, Implementing and Monitoring National and Local DRR Strategies In the Context of UNDRR and SDG



# Session Objectives



At the end of this session, participants should be able to:

- Discuss guidelines and requirements for developing, implementing and monitoring national and local DRR strategies

- What is the purpose of guidelines for national and local DRR strategies?
- Defining DRR strategy and action plans
- What are the principles for development of country's national DRR strategy?
- How to develop a national DRR strategies?
- What is the relevance of local level in DRR?
- What is the local DRR and resilience strategy?
- How to develop or adjust local DRR and resilience strategies?

- What is the purpose of guidelines for national and local DRR strategies?
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# What is the purpose of guidelines for national and local DRR strategies?

- To provide **practical guidance** on disaster risk reduction topics
- To offer **practical guidance** and **good practice examples** for aligning a national DRR strategy with the Sendai Framework for DRR 2015-2030
- To **substantially increase** the number of countries with national and local disaster risk reduction strategies

# Defining DRR strategy and action plans

- DRR strategies and policies aimed at **preventing the creation of disaster risk**, the **reduction of existing risk** and the **strengthening** of economic, social, health and environmental **resilience**
- DRR strategies are **planning tools** that provide the **vision** and **long-term perspective**, **identify goals** and **actions** on an understanding of disaster risk and an **evaluation** of current DRR systems and **capacities** at country level

# Defining DRR strategy and action plans (i)

- A plan / action plan /roadmap / framework is a document that provides operational **orientation for implementation** of the strategy.
- An action plan defining **priority actions, timeframes, budget and resources, roles and responsibility** of various entities in delivering results, identifying indicators and modalities for review and monitoring progress.
- Examples – Comprehensive national **DRR plan**, as **risk-sensitive development** plan, as **sector-specific** plans, or addressing specific hazards.



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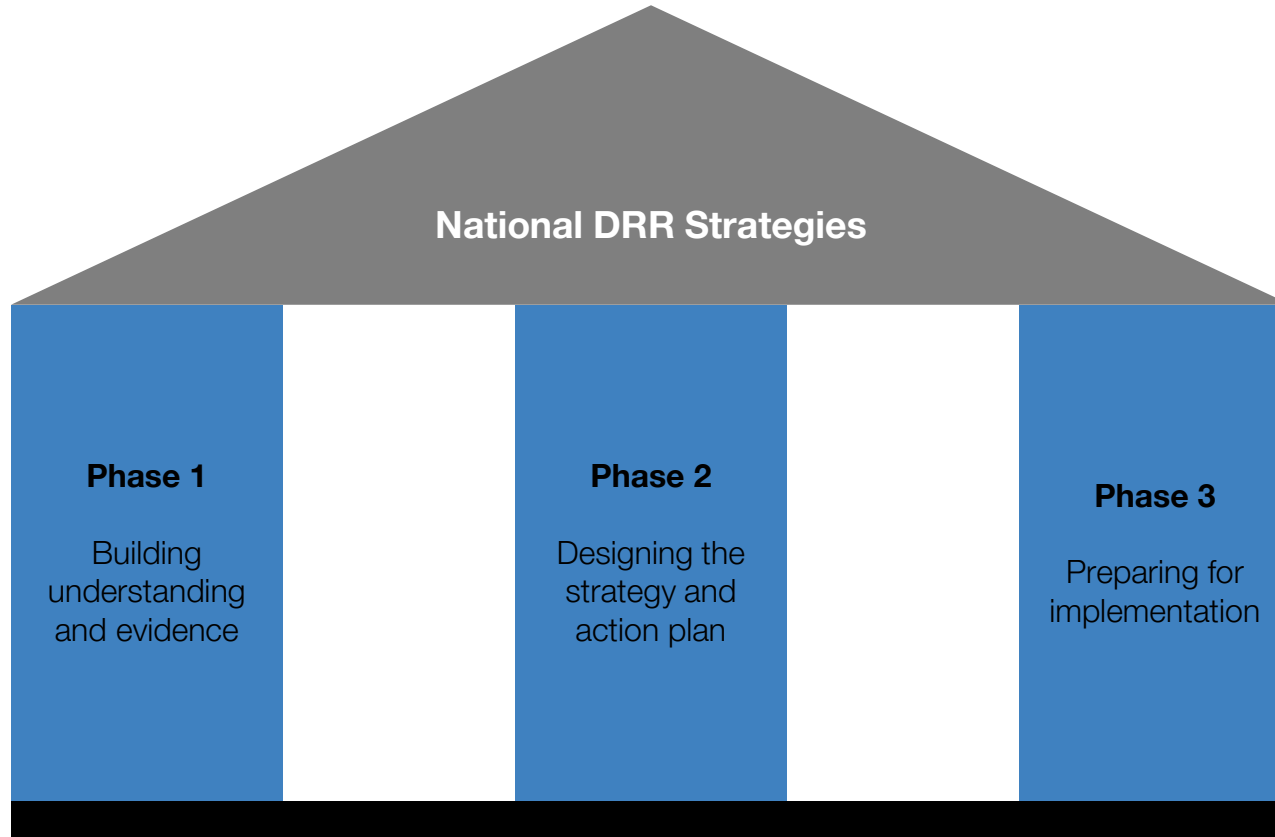
# What are the principles for development of country's national DRR strategy?

- Establishing a strong **disaster risk governance system** to achieve long-term resilience
- Adopting a **multi-hazard approach**
- Promoting **inclusion and whole-of-society** approach
- Fostering **coherence**
- **Customizing approaches** to fit the country context
- **Building capacities** for developing and implementing a national DRR strategy

- What is the purpose of guidelines for national and local DRR strategies?
- Defining DRR strategy and action plans
- What are the key principles for development of country's national DRR strategy?
- **How to develop a national DRR strategies?**
- What is the relevance of local level in DRR?
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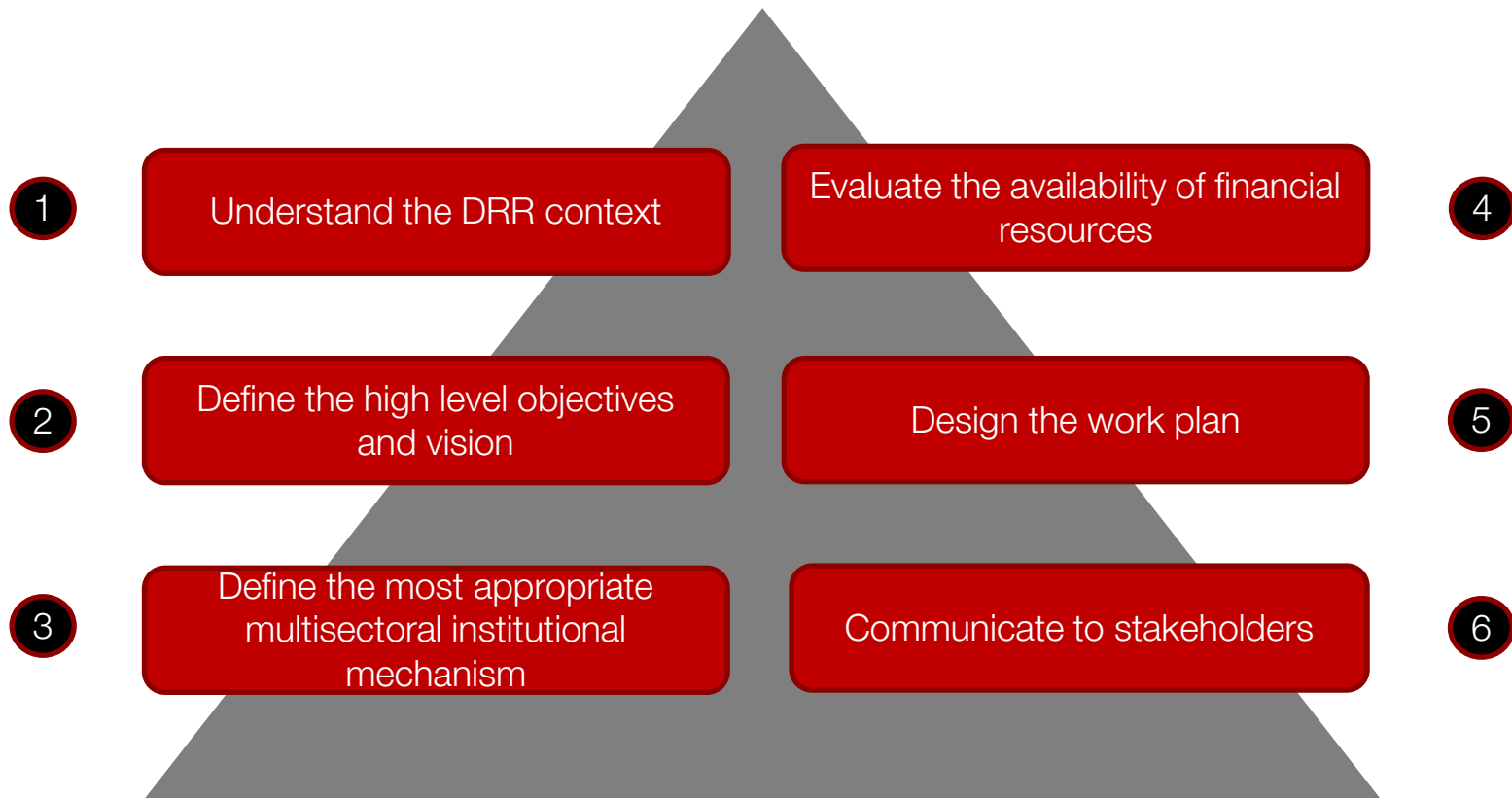
# How to develop a national DRR strategies?

## *Fundamental Blocks*



# How to develop a national DRR strategies?

## *Phase 1: Building Understanding and Evidence*



# How to develop a national DRR strategies?

## Phase 2: Designing the strategy and action plan (i)

7

Putting together all into a Draft Strategy

<b>Section</b>
<b>Guiding questions</b>
<b>Preface, including adoption decision</b> How will the strategy be endorsed, financed, and monitored during implementation? What is the legal status of the document? What time period will it cover?
<b>4. Executive Summary</b>
<b>5. Introduction</b> <b>Why and how are we doing this?</b>
2.1. Context, importance and urgency Why is a national DRR strategy needed?
2.2. Scope and structure of the document What are the contents and how should one read the document? What are the limitations of the document considering its scope?
2.3. Linkage of national DRR strategy to local DRR strategies How does the national DRR strategy link with local DRR strategies?
2.4. Legal framework and place within national policy On what legislation is the strategy based? How does the strategy relate to general national policy planning and to other relevant strategies, such as a national development plan, national climate change adaptation strategy etc.?
2.5. Process of development How was the document developed? Who was involved and who should have been involved but was not? How did we manage engagement and consultations with the whole of society?
<b>6. Current situation and trends: challenges</b> <b>Where are we now?</b>
3.1. Disaster risk What are the conclusions of the national disaster risk assessment (including data on historical losses)? What are the major hazards, exposed elements and vulnerabilities? What trends are expected (risk drivers, drivers for climate change and adapting to it etc.)?
3.2. Gaps in DRR governance system What are the identified gaps in the current DRR governance system, including legislation, capacities, monitoring and evaluation?
3.3. Summary of challenges What are the main challenges (in risk and capacities) that need to be addressed in the strategy? What are the current gaps in capacities (DRR capacities and coping capacities)?
<b>7. Mission, vision and</b> <b>Where do we want to be?</b>
4.1. Vision What "better world" is the strategy aiming for?
4.2. Mission The path to achieve the vision is a strong DRR governance system. What does the ideal DRR governance system look like?
4.3. Guiding principles What are the guiding principles for the DRR governance system which are also reflected in the DRR strategy (e.g. multi-hazard approach, inclusion/whole-of society approach etc.)?
4.4. General objectives What outcomes do we want to achieve (short, medium and long term)?
4.5. Priorities (e.g. Sendai Framework priorities of action or priorities tailored to the country context) What are the priorities / strategic outcome targets / goals?

# How to develop a national DRR strategies?

## Phase 2: Designing the strategy and action plan (ii)



**8. Overview of action plan**  
What are we going to do to get there?  
see action plan template below

Template for an Action Plan  
**NATIONAL DISASTER RISK REDUCTION STRATEGY PLANNING**  
towards risk informed national, sectoral, development and climate change strategies  
Action Plan for Calendar Year/s (\_\_\_\_-\_\_\_\_)

STRATEGIC OUTCOME TARGET/ GOAL							
	<small>(e.g. Sendai Framework Priorities and/or other nationally defined priorities that support achievement of the global agenda)</small>						
RESULT/IMPACT INDICATOR							
	<small>The indirectly impact of the 7a policies. May be related national development plan, the change that indirectly occurred to occur there are or more Outcome Objectives have been and are directly achieved by 7a and other Strategy Initiatives (e.g. Reduction of disaster mortality, services affected, economic loss, services to action to early warning, etc.)</small>						
OUTCOME OBJECTIVE(S)	OUTCOME INDICATORS (specific, measurable, attainable, realistic) (define how are strategy to reach Sendai Frameworks here for Outcome Indicators)	OUTPUTS (products, tools, services, etc. risk profiling)	ACTIVITIES	TIME FRAME (duration)	RESPONSIBLE (>Support Agencies) (priority/first)	BUDGET ESTIMATE	BUDGET SOURCE

**9. Implementation strategy**  
How do we ensure we can get there?

**9.1. Governance of implementation**  
Who coordinates the implementation? What are the responsibilities of each stakeholder? How do they cooperate? How to make the implementation of the strategy sustainable? How can the strategy be incorporated in relevant sectoral and sub-national policies?

**9.2. Financial resources**  
What are the financial provisions for prevention, preparedness, response and recovery? What are the sources of funding for the strategy? What are the procedures for assigning long-term and annual budgets?

**9.3. Communication**  
What is the overarching strategy for the role of media and communication mechanisms in DRR and in implementation of the strategy?

**9.4. Whole-of-society engagement**  
How will the whole of society be involved in the implementation?

**9.5. International cooperation and global partnership**  
What are the arrangements for cross-border, regional and international cooperation? How does the strategy link to the Sendai Framework?

**10. Monitoring, evaluation and reporting**  
How do we monitor our progress and adapt to the actual situation?

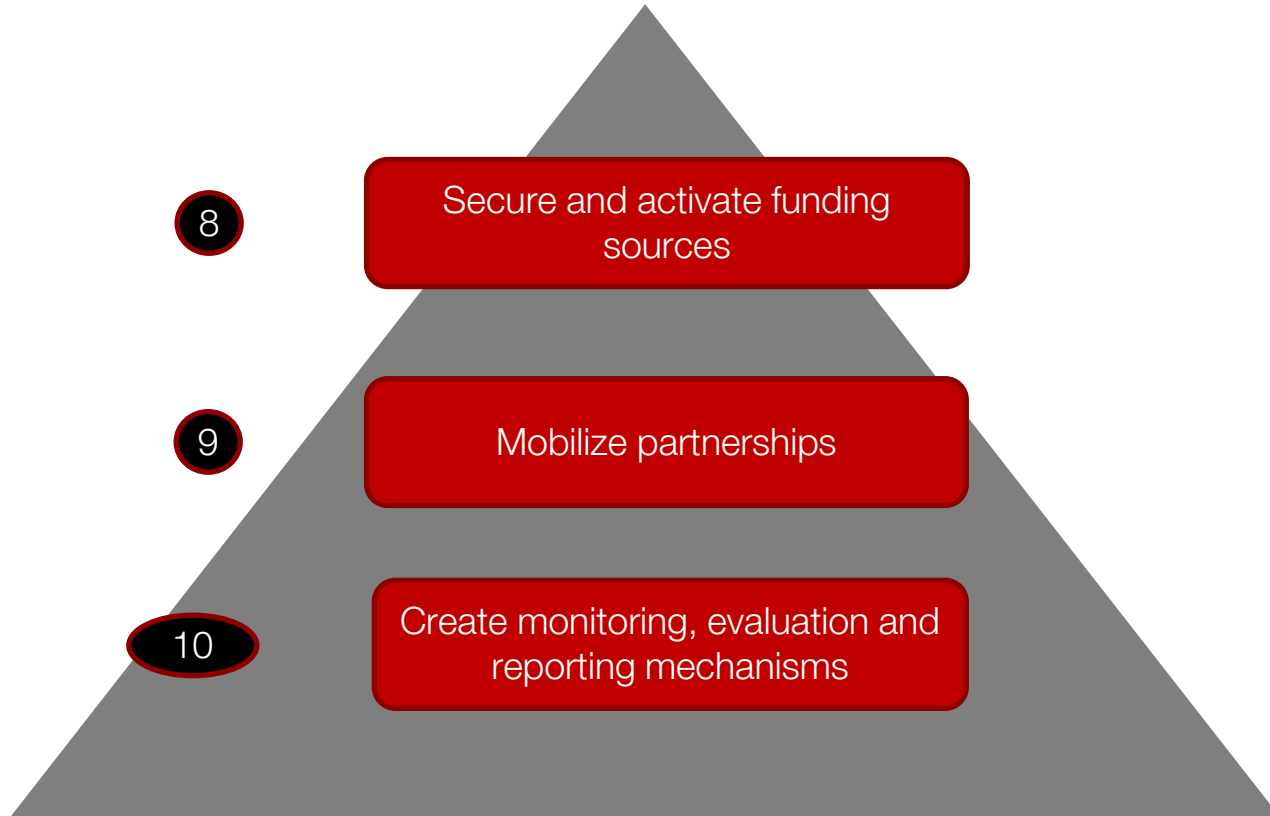
**10.1. Monitoring and progress reporting**  
How and how often will the progress of implementation be reported (and to whom)? What are the indicators?

**10.2. Evaluation**  
When and how will the strategy be evaluated?

**10.3. Updating and adapting**  
How often will this strategy be updated? What may trigger an update?

# How to develop a national DRR strategies?

## *Phase 3: Preparing for implementation*





# How to develop a national DRR strategies?

## *Global guideline – Word into Action*

- **6 Key principles** for developing national DRR strategies
- **10 Key elements** for alignment of strategy with SFDRR
- **10 Steps** for developing national DRR strategies

Source: UNDRR (2019)  
<https://www.undrr.org/developing-national-disaster-risk-reduction-strategies>



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# What is the relevance of local level in DRR?

- Impacts of disasters are **immediate with high intensity**
- Hazards usually occur **locally**
- Governments and communities can best **engage with each other and work together**
- **Feedback and adjustments** can be adopted and implemented more quickly
- **Local DRR goes hand-in-hand** with promotion local development management

# What is the local DRR and resilience strategy?

- A local disaster risk reduction and resilience strategy is the **planning tool** to **integrate and mainstream** a DRR approach within local development.
- It has different **timescales**, with **targets, indicators** and **time frames**
- It aims **preventing** the creation of risk and **reducing** existing risk

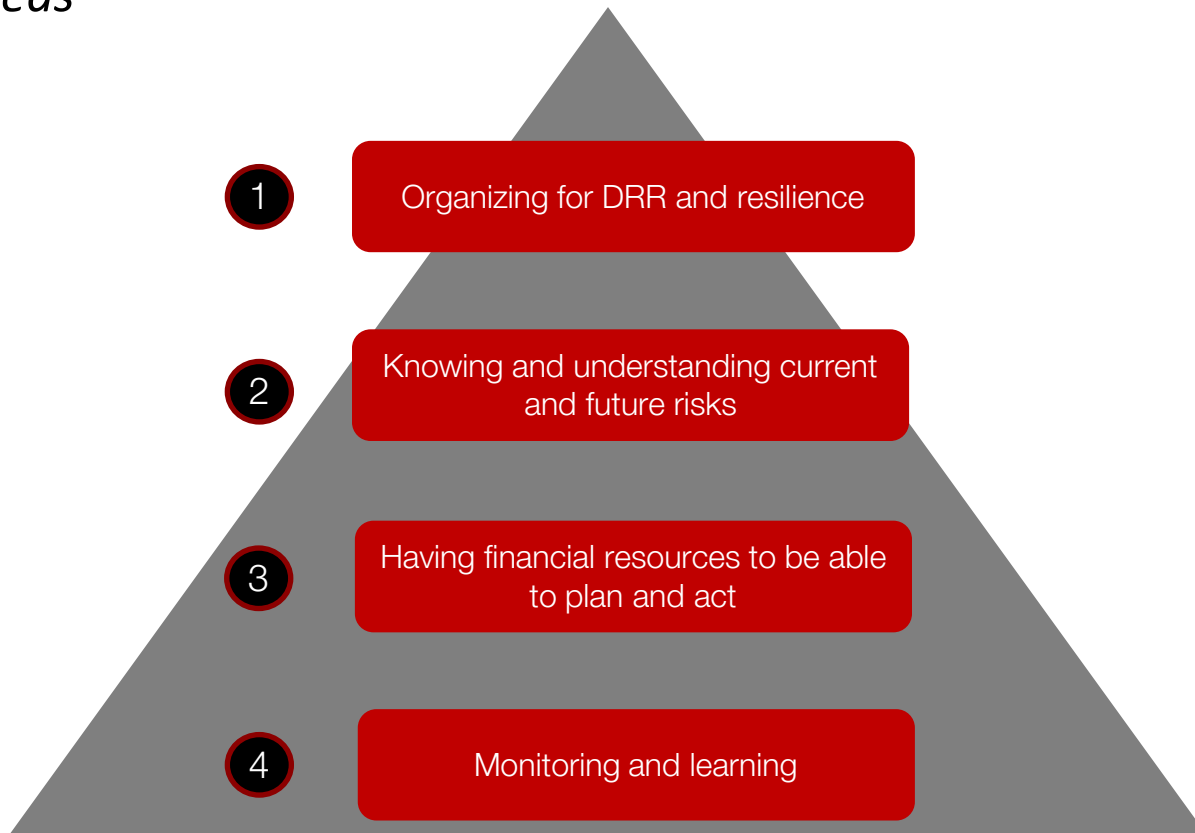
# What is the local DRR and resilience strategy? (I)

- It also aims at **strengthening** economic, social, health and environmental **resilience**
- Addresses the recommendations of **all priorities of SFDRR**
- Promote **policy coherence** relevant to DRR, such as SDGs, Paris Agreement
- Have mechanism to **follow-up, periodically assess** and publicly **report on progress**

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# How to develop or adjust local DRR and resilience strategies

*4 key areas*



# How to develop or adjust local DRR and resilience strategies

## *Sub-key areas*

1

Organizing for DRR and resilience

Organizational structure

Written laws, regulations,  
codes

Mainstreaming DRR across  
sectors and actor

Building capacities

Horizontal and vertical  
coordination



# How to develop or adjust local DRR and resilience strategies

## *Key questions*

- How we organize for DRR and resilience?
- Who's who?
- Who does what in the DRR process?
- How do we incorporate DRR into the everyday practices of local actors?
- How do we create a shared vision and understanding of DRR to gain support from most - if not all – local actors as part of the process?

# How to develop or adjust local DRR and resilience strategies

*Sub-key areas*

2

Knowing and understanding current  
and future risks

Risk Appraisal

Risk Communication

# How to develop or adjust local DRR and resilience strategies

## *Sub-key areas*

3

Having financial resources to be able to plan and act

- *Make the case for financing DRM locally*
- *Agree on what should be financed*
- *Identify existing and potential sources of funding*

# How to develop or adjust local DRR and resilience strategies

## Sub-key areas

4

Monitoring and learning

- *Define, in a participatory manner, short, medium and long-term goals*
- *Include a timeline with outputs and milestones*
- *Identify or develop a set of indicators and establish benchmarks*
- *Monitor progress over time to gauge how the strategy is fulfilling the vision*
- *Adjust the strategy as necessary; goals can be revised and adjusted*

# How to develop or adjust local DRR and resilience strategies

*Global guideline – Word into Action*

- **10 key elements** for aligning DRR strategies with SFDRR
- **2 enabling factors** for local DRR and resilience strategies
- **3 key essentials** for development and adjustment of local disaster risk reduction and resilience strategies

Source: UNDRR (2019)  
[https://www.preventionweb.net/files/57399\\_57399localdrrandresiliencestrategie.pdf](https://www.preventionweb.net/files/57399_57399localdrrandresiliencestrategie.pdf)



# National DRR Strategy of the Republic of Tajikistan for 2019-2030



Стратегияи миллии Ҷумҳурии Тоҷикистон  
оид ба паст кардани хавфи офатҳои табиӣ  
барои солҳои 2019-2030

National Disaster Risk Reduction Strategy  
of the Republic of Tajikistan for 2019-2030

Национальная стратегия Республики  
Таджикистан по снижению риска стихийных  
бедствий на 2019-2030 годы

- Approved by the GoRT on December 2018
- Based on the NDRMS 2010-2015
- Builds on priorities of Sendai Framework 2015-2030 and the SDG

# National DRR Strategy of the Republic of Tajikistan for 2019-2030



## 4 Objectives:

1. Reduce the number of deaths, persons affected and material damage caused by natural disasters as compared to the period 2005-2015.
1. Ensure that all stakeholders have access to disaster risk information.
1. Mainstream DRM into development process.
1. Improve disaster preparedness and response mechanisms.

# National DRR Strategy of the Republic of Tajikistan for 2019-2030



## Questions for Discussion and Reflection:

1. Which among the four objectives you feel we need to put extra effort on? Why?
1. What are the challenges faced in realizing the objectives
1. What do you think are the strategies and activities that need to be done or institutionalized to address these challenges (and help realize the objectives?)



# National DRR Strategy of the Republic of Tajikistan for 2019-2030

Three key stages :

- Stage 1, covering the period of 2019-2022;
- Stage 2, covering the period of 2023-2026;
- Stage 3, covering the period of 2027-2030.



Disaster management in Tajikistan takes place through a formal Unified State System on the Prevention and Liquidation of Emergency Situations (**the Unified System**) coordinated by Commissions of Emergency Situations at the national, provincial, city, district, jamoat and organizational levels.

# NATIONAL CLIMATE CHANGE ADAPTATION STRATEGY (NCCAS)

Утверждена  
постановлением Правительства  
Республики Таджикистан  
от 2 октября 2019 года, №482

НАЦИОНАЛЬНАЯ СТРАТЕГИЯ АДАПТАЦИИ К ИЗМЕНЕНИЮ  
КЛИМАТА РЕСПУБЛИКИ ТАДЖИКИСТАН  
НА ПЕРИОД ДО 2030 ГОДА

Душанбе- 2019

1

- Approved by the GoRT on October 2018
- 4 priority sectors vulnerable to climate change:
  - i) Energy
  - ii) Water resources
  - iii) Transportation
  - iv) Agriculture

# CoES Development Program for the period 2018-2020

Приложение 1  
Утверждена  
[постановлением Правительства](#)  
Республики Таджикистан  
от 31 мая 2018 года, №284

**Программа развития Комитета по чрезвычайным ситуациям и гражданской обороне при  
Правительстве Республики Таджикистан на 2018-2022 годы**

## 1. ОБЩИЕ ПОЛОЖЕНИЯ

1. Программа развития Комитета по чрезвычайным ситуациям и гражданской обороне при Правительстве Республики Таджикистан (далее - Программа) направлена на определение целей, принципов, приоритетов и мер по реформированию и развитию органов по чрезвычайным ситуациям и гражданской обороне, и ее реализация способствует решению важных задач по реформированию сферы чрезвычайных ситуаций и гражданской обороны Республики Таджикистан (далее - комитет) в современном мире и соответствует основным приоритетам Национальной стратегии развития Республики Таджикистан на период до 2030 года.

2. Программа основывается на нормах Конституции Республики Таджикистан, других нормативных правовых актах Республики Таджикистан, регламентирующих деятельность комитета, определяет цели, приоритеты и меры по реформированию и развитию органов чрезвычайных ситуаций и гражданской обороны.

3. Программа развития направлена на повышение эффективности функционирования Единой государственной системы по предупреждению и ликвидации чрезвычайных ситуаций, укрепление материально-технической базы и потенциала органов по чрезвычайным ситуациям и гражданской обороне в сфере обеспечения защиты населения и территории республики от чрезвычайных ситуаций природного и техногенного характера.

Approved by the GoRT resolution  
(May 2018)

Entails two-stage implementation

- Stage 1, covering the period of 2018-2020
- Stage 2, covering the period of 2021-2022

# References



- UNDRR. (2019). *Developing National Disaster Risk Reduction Strategies: Words into Action*. Retrieved from <https://www.undrr.org/developing-national-disaster-risk-reduction-strategies>
- UNDRR. (2019a). Local Disaster Risk Reduction and Resilience Strategies *Words into Action*. Retrieved from [https://www.preventionweb.net/files/57399\\_57399localdrrandresiliencesstrategie.pdf](https://www.preventionweb.net/files/57399_57399localdrrandresiliencesstrategie.pdf)



# THANK YOU

## FOR YOUR ATTENTION

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